

Internal Audits (current reporting period)

Name of internal audit	Internal audit type	Status	Completion date
Audit of Canada Research Chairs http://www.sshrc-crsh.gc.ca/about-au_sujet/publications/audit-verification-eng.aspx	Tri-agency Program controls (administered by SSHRC)	Completed	December 2012
Audit of IT Security http://www.nserc-crsng.gc.ca/NSERC-CRSNG/Reports-Rapports/audits-verification/index_eng.asp	Common Services – Information Technology Controls	Completed	September 20, 2012
Audit of Discovery Grants Program http://www.nserc-crsng.gc.ca/NSERC-CRSNG/Reports-Rapports/audits-verification/index_eng.asp	NSERC Program Controls	Completed	August 29, 2012
Audit of Procurement and Contracting Management http://www.nserc-crsng.gc.ca/NSERC-CRSNG/Reports-Rapports/audits-verification/index_eng.asp	Common Services Audit – Procurement and Contracting Management Controls	Completed	March 12, 2013
Audit of Policy on Internal Control Implementation http://www.nserc-crsng-	Common Services Audit – Financial Management Controls	Completed	March 12, 2013

crsng.gc.ca/NSERC-CRSNG/Reports-Rapports/audits-verifications/index_eng.asp			
Pre-implementation audit of the Collaborative Research and Development Grants Program	Project management controls & System implementation controls within the CRD Program	Audit was changed to a “Health Check” and, as such, did not result in an official audit report.	Presented to IAC for discussion purposes in March 2013 (no approval required)
Audit of Budget Management	Common Services Audit – Financial Management Controls	Complete ¹	March 2013
Audit of Change Management	Controls around the management of change initiatives within NSERC and SSHRC	The audit was delayed as most of the change management components are still in development or in early stages of implementation, hence too early to be reviewed.	TBD

¹ Given that a number of components of the financial management framework were in development, the proposed audit was modified to a progress assessment to advise management on whether the framework was appropriately developed and delivered as planned.