

Alliance grants

Terms and Conditions of Award

NSERC is pleased to offer you a grant in support of the research project proposed in your application in the amount and for the duration described above.

By drawing on the funds awarded, you confirm that, during tenure of the grant, you will comply with the following terms and conditions:

1. You will use grant funds (including financial contributions from both NSERC and the partner organizations) only for the specific purposes for which they were awarded. Grant funds may not be used for items not approved, or for unauthorized purposes such as indirect costs of research. You are expected to spend grant funds from both NSERC and the partner organizations in a timely manner throughout the project.
2. You will provide true, complete, accurate information in any documents related to your grant/award, and you will represent yourself, your research and your accomplishments in a manner consistent with the norms of the relevant field.
3. You will ensure that individuals who are named in any document(s) related to your grant/award have agreed to be included and to disclose their personal information in the document(s).
4. If you are a co-grantee, you agree that the grantee will administer the grant/award on behalf of the group/team.
5. You are not currently ineligible to apply for and/or hold funds from NSERC, the Social Sciences and Humanities Research Council (SSHRC), or the Canadian Institutes of Health Research (CIHR), or any other research or research funding organization worldwide for reasons of breach of policies on responsible conduct of research, such as ethics, integrity or financial management policies. If at any time you become ineligible for any of these reasons, you will advise your institutional officials and NSERC immediately, in writing.
6. You will comply with the [Tri-Agency Framework: Responsible Conduct of Research](#), which includes but is not limited to the [Tri-Agency Financial Administration Guide](#), the Program Guide for Professors, and the Tri-Agency Research Integrity Policy, and with any amendments to these that NSERC may adopt.
7. If you are or have been (at any time during the past 12 months) a federal public servant, you have complied with and will continue to respect the [Values and Ethics Code for the Public Sector](#).
8. You consent to the sharing among the three federal granting agencies (NSERC, SSHRC and CIHR) and with any academic institution to which you are, or may become, affiliated, of any and all information, including personal information, in any way related to the application and to the grant/award.

9. You consent to the sharing among any co-funding organizations listed or participating in the research project, any and all information, including personal information, in any way related to the grants/award.
10. You will provide contact information and inform all students, fellows and other research trainees paid out of this grant that NSERC may contact them to gather information for statistics and reporting purposes
11. In the event of any change in your eligibility status, you will inform NSERC and your institutional officials immediately, in writing.
12. You will inform institutional officials of any changes in the nature of the research that may have an impact on certification or approvals as in section 2.4 Agency Requirements for Certain Types of Research of the [Tri-Agency Framework: Responsible Conduct of Research](#).
13. You will inform NSERC and your institutional officials of any changes in the nature of the research that may require an environmental review/assessment or involves the use of human pluripotent stem cells. You will not proceed with these research activities requiring additional certification until NSERC approval has been obtained.
14. You will inform your institutional officials if your research plan changes to include new activities involving humans or the use of animals. You will not proceed with these research activities until institutional approval has been obtained.
15. Upon request, you will provide regular reports with the complete information requested at intervals that are determined by NSERC.
16. You will adhere to the start and end dates of your project as specified in the Grant Summary. If you wish to extend the term of the grant you must contact NSERC.
17. You will receive the next instalment of your grant on or about the anniversary of the start date subject to:
 - availability of funds;
 - confirmation that the partner organization(s) have met their obligations to provide cash contributions for the preceding term;
 - confirmation that the partner organization(s) will continue their financial support for the following term; and
 - a demonstrated need for funds.NSERC reserves the right to reduce or cancel a grant instalment if the grantee fails to demonstrate a continued need for funds.
18. You agree that you will acknowledge the support of NSERC and of any participating Canadian Government department and/or agencies in all communications about the research and research results.

Other Considerations

1. Participation of the Partner Organizations

The grantee must ensure that each partner organization:

- honours its commitment to the project (in cash and/or in-kind) as approved
- provides periodic assessments requested by NSERC, to help NSERC evaluate the progress of the research
- makes every effort to use the project results to create economic, social and/or environmental benefits for Canada and Canadians.

NSERC may request that the grantee replace the partner organization(s) and/or that the participation of the partner organization(s) be terminated:

- for reasons of national security;
- in the event of criminal sanctions; and
- to comply with federal laws, regulations, policies and directives.

The grantee must ensure that any agreement with the partner organization(s) contains provisions relevant to the above.

2. Research Agreements – Intellectual Property (IP)

The grantee and co-grantees, the universities, and the partner organization(s) agree to abide by NSERC's [Policy on Intellectual Property](#). NSERC considers it a best practice for all parties collaborating in the research project to enter into a Research Agreement that outlines any IP arrangements and the obligations of all partner organizations involved in the research project.

3. Change of Status – Partner Organization(s)

The grantee must notify NSERC immediately of any change in the status of the partner organizations in the grant. These changes include any of the following:

- contact name at the organization;
- buyout or change of ownership and subsequent name change of the organization;
- withdrawal or adjustment of cash support;
- new partner organizations participating.

Depending on the change, it may be necessary to provide new partner organization information to NSERC.

4. Termination of Support

NSERC reserves the right to terminate support at any point:

- if it finds that progress is unsatisfactory;
- if the partner organization(s)' support falls to a level substantially lower than the amount stated in this Grant Summary, or is withdrawn entirely and the grantee cannot find a suitable replacement partner organization;
- if there are national security concerns related to the research, the grantee or co-grantee(s) and/or the partner organization(s)
- if the grantee or co-grantees and/or partner organization(s) are subject to criminal investigations, allegations, indictments or convictions which are related to, or have an impact on, the research;
- to comply with federal laws, regulations, policies and directives; and
- for other issues that may arise.

If an award is terminated early, NSERC will negotiate an orderly phase-out of the project to ensure minimal disruption especially for any highly qualified personnel in training. However, if a breach of NSERC policy is alleged or confirmed, the [Tri-Agency Framework: Responsible Conduct of Research](#) applies.

Note

If you have any concerns about your ability to comply with the terms and conditions listed above, contact the NSERC File Manager (identified in the letter above) immediately. You should agree to the terms and conditions and begin to draw down on any funds paid to you or to the host institution once you are certain that you can and will comply with all of the requirements listed above.